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An Exploratory Study on Dialect Density Estimation for Children and Adult's African American English

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This paper evaluates a novel framework for spoken dialect density prediction on both 1 children's and adult's African American English. A speaker's dialect density is de-2 fined as the frequency with which dialect-specific language characteristics occur in 3 their speech. Rather than treating the presence or absence of a target dialect in a 4 user's speech as a binary decision, we instead train a classifier to predict the level of 5 dialect density in order to provide a higher degree of specificity in down-stream tasks. 6 For this, we experiment with self-supervised learning representations from HuBERT, 7 hand-crafted grammar-based features extracted from ASR transcripts, prosodic fea-8 tures, and other feature sets as the input to an XGBoost classifier. We then train 9 the classifier to assign dialect density labels to short recorded utterances. We achieve 10 high dialect density level classification accuracy for both child and adult speech and 11 demonstrate robust performance across age and regional varieties of dialect. We ad-12 ditionally use this work as a basis for analyzing which acoustic and grammatical cues 13 affect machine perception of dialect. 14

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15 I. INTRODUCTION

Language identification (LID) and dialect identification (DID) have become integral parts 16 of many large spoken language systems. For example, many multilingual automatic speech 17 recognition (ASR) systems like OpenAI's Whisper (Radford et al., 2022) and Meta's Mas-18 sively Multilingual Speech models (Pratap et al., 2023) leverage large cross-lingual speech 19 corpora for training and then perform LID during inference. Other systems like AWS tran-20 scribe (AWS, 2023) offer DID for commercial use cases, distinguishing input speech, for 21 example, between English dialects from the US, UK, or India for better performance on 22 regional dialects. As these models expand to support more languages and dialects, sev-23 eral challenges arise: First, data-driven DID methods that rely on the availability of large 24 amounts of dialect-labeled speech may not generalize to less well-resourced dialects and 25 variations. Second, even within a dialect, these systems are typically only trained on adult 26 speech. Therefore, many DID systems are unable to accurately predict dialect for children's 27 speech, making them unsuitable for speech applications in early education. Third, some 28 speakers may use more or fewer aspects of a dialect than others (as some people are per-29 ceived to have a thicker accent than others). As such, categorizing all speakers of a dialect 30 into the same label group regardless of the frequency of use of dialect-specific pronuncia-31 tions, grammar patterns, and prosodic patterns may lead to inaccurate representations of 32 some speakers in downstream applications. 33

Despite recent advances in DID systems, few works have been proposed to better explain which acoustic and linguistic cues are essential for machines to accurately predict certain dialects. Studies such as (Holliday, 2021) attempt to better understand which acoustic and prosodic cues are used by listeners to determine a speaker's perceived ethnicity or dialect. However, it is largely unknown if machines use the same cues as humans to perform DID and, if so, to what extent they utilize them. This motivates the need for further research on explainable DID systems in which the importance of different types input cues can be further analyzed and compared to known phenomena in humans.

In this paper, we build on the dialect density estimation system originally proposed in 42 (Johnson et al., 2022a) in order to address these challenges. Particularly, we seek to better 43 understand what acoustic cues, in addition to known morphosyntactic cues, affect machine 44 perception of dialect. Dialect density is the frequency with which a speaker uses dialectal 45 differences that are not present in a reference dialect (Craig and Washington, 1994; Wash-46 ington and Seidenberg, 2022). Therefore, automatic dialect density estimation consists of 47 predicting a speaker's dialect density from a short input sample of their speech. A machine 48 can then use this estimate for better downstream model selection, tuning of decoding param-49 eters, or data sampling techniques. The dialect density labels need not be mutually exclusive 50 between multiple dialects and can encode dialectal aspects of grammar and pronunciation 51 separately if desired. 52

This work proposes a model for African American English dialect density estimation from short utterances on both children's and adult's speech (utterances of length 30-90sec for adult speech and 2-3min for children's speech). As education literature has demonstrated, speakers of minority dialects like AAE are often underrated in language abilities due to raters who are unfamiliar with AAE interpreting dialectal differences as language deficiencies (Washington

et al., 2018). In particular, children with higher AAE dialect density have been shown to 58 underachieve in schools that primarily teach in MAE (Washington et al., 2018). Therefore, 59 DID in educational spoken language systems could be used to detect and mitigate this bias, 60 creating a pressing use case for the dialect explored in this work. We first train and test the 61 proposed system on a dataset of adult's AAE. We then show the generality of the feature 62 extraction and model training paradigm to children's speech by training and testing the 63 proposed model on a corpus of spontaneous children's speech from both AAE and non-AAE 64 speaking students from the Atlanta, Georgia area. 65

Although the phonetic and morphosyntactic dialectal features of AAE have been well 66 documented (Lanehart and Malik, 2015; Thomas, 2015), few studies have been done to 67 collect data or improve ASR system performance for the dialect, giving it status as a low 68 resource dialect. Notably, (Koenecke et al., 2020) identifies a performance gap between MAE 69 and AAE for several commercial ASR systems and points to insufficiently trained acoustic 70 models as a possible cause. (Koenecke *et al.*, 2020) also shows that commercial ASR system 71 performance worsens as a function of increasing AAE dialect density. The model proposed in 72 our work fuses traditional acoustic features, state-of-the-art neural network representations, 73 and handcrafted features designed to detect documented aspects of AAE in order to create 74 robust predictions of dialect density. The model combines information relating to acoustic 75 phonetics, prosody, and morphology. We show high performance of the model for both 76 AAE-speaking children and adults, as well as offer insights on how machines can better deal 77 with the dialectal linguistic differences present. We additionally show the impact of input 78

⁷⁹ features on the dialect density classifier in order to interpret how they affect the model and
⁸⁰ interact with each other. Next, we summarize the previous works related to this paper.

A. Related Works

Several recent studies have offered promising DID systems for a limited number of dialects. 82 (Liao et al., 2023) introduces a time delay neural network, as popularized by the X-vector 83 speaker embedding (Snyder et al., 2018), with attention across both time and frequency 84 for classifying between a set of 16 dialects. The experiments performed in (Tzudir et al., 85 2022a) additionally found frequency-based data augmentation to be beneficial in training a 86 recurrent neural network to classify low-resource dialects with either speaker embeddings or a 87 combination of Mel frequency cepstral coefficients (MFCCs) and other acoustic features. The 88 authors of (Yadavalli et al., 2022) designed a multitask learning framework for a conformer-89 based system that jointly learns to output ASR transcripts and DID labels for speech from 90 three Telegu dialects. In order to overcome performance degradation caused by domain 91 mismatch in end-to-end DID systems, (Shon et al., 2019) creates a domain-attentive fusion 92 technique to better classify African and Arabic dialects across recording conditions and 93 speaking styles. 94

Despite these advancements, several challenges remain in DID, especially for widely spoken languages such as English, which display wide variability both within and across groups. For example, while many current DID systems may categorize US English as distinct from British English, they do not recognize differences between Mainstream American English (MAE), African American English (AAE), Southern American English, Creole English, and

other varieties. The work in (Duroselle *et al.*, 2021) shows that ASR systems with more 100 knowledge of the different dialects, achieved by joint training on DID and ASR, often per-101 form better across those dialects, implying that adding more specificity to the DID pipeline 102 would improve the performance of downstream tasks. However, it is neither simple nor scal-103 able to simply attempt to train current DID systems to distinguish between larger sets of 104 dialects. First, several dialects are low-resource dialects, meaning that there is not enough 105 publicly available speech data to train large spoken language models to recognize them. 106 Second, speech samples cannot always be categorized neatly into one dialect. Many speak-107 ers code-switch, alternating between different languages or dialects (Martin-Jones, 1995), 108 or incorporate aspects of multiple dialects into their speech. The degree of the speaker's 109 code-switching may depend on several factors such as the speaking style or formality of the 110 conversation (Labov, 2006). Assigning discrete labels to samples from these speakers and 111 forcing a model to choose a single dialect for them would likely propagate error through the 112 system. Third, many current DID models only classify dialect from acoustic features like 113 spectrograms or Mel frequency cepstral coefficients, which mainly discern differences in pro-114 nunciation (e.g. (Ali et al., 2019; Lei and Hansen, 2011; Mawadda Warohma et al., 2018)). 115 However, sociolinguistic variations can differ in several aspects besides just pronunciation 116 (e.g. prosody, grammar, and diction). Previous works which have combined prosodic cues 117 with spectral information (Tzudir et al., 2022b), or that have attempted to classify language 118 or dialect from grammatical features of text (Zissman and Berkling, 2001) have shown that 119 considering other aspects of language can improve automatic DID. This is especially ben-120 eficial in DID for speakers with relatively high acoustic variability like children. Although 121

children's developing vocal tracts and articulatory motor skills may cause their speech to 122 display different acoustic properties than adults' speech (Lee *et al.*, 1999), work in (John-123 son et al., 2023a) shows that incorporating prosodic and grammar information into DID 124 systems trained on adults speech can make them more robust for children. Improving DID 125 for children's speech is of particular interest in educational speech technology, as mentioned 126 previously. Applications like Read Along by Google (Google, 2023) use ASR and natural 127 language processing (NLP) to recognize and provide pronunciation and literacy feedback to 128 children as they practice reading aloud. 129

130 1. Dialect Density

Originally proposed in educational studies on AAE children's language usage, dialect 131 density is a metric for measuring how much dialectal influence appears in a speaker's speech 132 (Craig and Washington, 1994; Seymour et al., 1998; Washington et al., 1998). It is common 133 to measure AAE dialect density as the percentage of words or sentences of a speaker's speech 134 that contain well-documented AAE dialectal characteristics that are not present in MAE 135 speakers. The language differences between MAE and AAE may cause student speakers 136 of AAE to be seen as developing language skills incorrectly, and so education researchers 137 have found it necessary to measure one's frequency of dialect usage separately from their 138 pronunciation abilities (Moyle et al., 2014), lexical comprehension (Edwards et al., 2014), 139 and other markers of language development (Van Hofwegen and Wolfram, 2010). Drawing 140 inspiration from these studies, we aim to enable ASR systems with similar capabilities so 141 that they can mitigate bias that may come from dialect-specific constructions. 142

143 B. Roadmap

In Section II, we describe the structure of the proposed feature extraction pipeline and classification model for dialect density estimation. Then in Section III, we present the results of evaluating the system on adult speech from the CORAAL database and children's speech from the GSU Kids speech database. Section IV presents a discussion and analysis of the results. Section V presents conclusions and future work.

149 II. METHODS

The overall goal of this work is to train a classifier to predict the frequency and strength of a speaker's dialect usage from a short input utterance. The amount of dialect usage can be represented numerically with a dialect density measure (DDM) which gives the percentage of words in an utterance that contain a documented phonological or morphosyntactic characteristic of dialect. Here, we train a classifier to map features extracted from an utterance to the hand-labled DDM. This section describes the datasets, feature sets, and models used in this work.

157 A. Datasets

This study uses adult AAE speech data from the Corpus of Regional African American Language (CORAAL) (Kendall and Farrington, 2021) and Children's speech data from the Georgia State University Kid's Speech Database (GSU Kids) (data collected in (Fisher *et al.*, 2019) and structured in (Johnson *et al.*, 2022b)). An overview of each dataset is provided. Statistics about each set and the average dialect density for the speakers are shown in Table
I.

164 **1. CORAAL**

The CORAAL dataset contains recordings of interviews with African American English 165 speakers from a variety of socioeconomic backgrounds, ages, and cities throughout the East 166 Coast of the US. We use speech from 5 different cities in the database: Rochester, New York 167 (ROC), Lower East Side Manhattan, New York (LES), Washington DC (DCB), Princeville, 168 North Carolina (PRV), and Valdosta, Georgia (VLD). We avoid using recordings from the 169 DCA or DTL datasets, as these were recorded decades before the others on dissimilar devices. 170 Preliminary experiments show that recordings from these datasets are easily distinguishable 171 by recording device and dialect, adding confounding factors to experiments which may seek 172 to separate recordings by regional dialectal characteristics. There were a total of 65 different 173 speakers from across the 5 regional datasets used. The speakers ranged in age from young 174 teens to over 90 years old. The speakers also span a range of socioeconomic groups, although 175 this information is not available for several speakers, and so we do not focus on drawing 176 conclusions from the speakers' reported socioeconomic status. From each speaker, we took 177 2-3 utterances, each 30-90sec in length (as done in (Koenecke et al., 2020)), that were 178 annotated for dialect density. This totaled 208 utterances (about 2 hours) of dialect density-179 labeled adult AAE speech. Despite the fact that the CORAAL dataset contains hundreds of 180 hours of speech, the number of different speakers from whom distinct dialectal patterns can 181 be observed is far more limited, leading to the smaller dataset used in this work. The number 182

of utterances and speakers from each city are given in Table I. The utterances from ROC, PRV, and DCB were selected and labeled for dialect density by the authors of (Koenecke *et al.*, 2020) and the utterances from VLD and LES were selected and labeled by authors of this work ¹. Note that speakers from PRV and VLD on average have higher dialect densities than speakers from the other cities, possibly because those southern cities have historically had larger populations of AAE speakers. The audio recordings were originally sampled at 44.1kHz and downsampled to 16kHz for experimentation.

190 2. GSU Kids Database

This dataset contains audio recordings of 203 children aged 9 to 13 from the Atlanta, 191 Georgia area as they perform oral assessments consisting of a picture description task. The 192 recordings contain a mix of spontaneous and scripted speech. Each child gives one speech 193 sample, 2-3 min in length, totalling in about 15 hours of speech. While this leads to longer 194 audio segments than those in the adult samples from CORAAL, we observe more similar 195 numbers of words and number clauses between the child and adult samples of these lengths. 196 The children's speech was transcribed by the authors of (Fisher *et al.*, 2019) who are experts 197 in children's language. Authors of this paper then annotated the dialect density of each 198 recording following the same procedure described in (Koenecke et al., 2020) for the CORAAL 199 data. All of the students are from the same school district which primarily serves children 200 of working and lower middle class families. We acknowledge that socioeconomic status is 201 an important factor in acquisition of dialectal language (Craig and Washington, 1994) and 202 control for it as best as possible with the use of this largely homogeneous dataset. 203

City	ROC	LES	DCB	PRV	VLD	GSU kids
# Utt	50	30	50	50	28	203
# Speakers	11	10	22	10	12	203
Avg. DDM	0.047	0.042	0.088	0.194	0.141	0.040

TABLE I. Number of utterances, Number of speakers, and average dialect density measure (Avg. DDM) of dialect from each city for the labeled portion of the CORAAL database used and the Georgia State University Kids Speech Corpus.

204 3. Dialect Density Labels

Each utterance was transcribed at the word level, and then any documented phonological 205 AAE dialectal differences from MAE (ie. differences in pronunciation) or morphosyntactic 206 differences (ie. differences in grammar or word choice) in the utterance were tagged as such. 207 The dialect density measure (DDM) of each utterance is then calculated as the number 208 of these dialectal differences divided by the number of words in the utterance (Koenecke 209 et al., 2020). For educational applications with AAE children's speech, it may also be useful 210 to predict the child's usage of phonological dialectal patterns and morposyntactic dialectal 211 patterns separately. Having these two separate metrics (one corresponding to pronunciation 212 and one corresponding to grammar) would allow spoken language systems to give dialect-213 appropriate feedback on a child's pronunciation, grammar, and word usage separately. To 214 explore a classifier's ability to perform this task for children, we train the classifier to predict 215 the total dialect density measure (DDM), the dialect density only taking into account the 216 phonological aspects (Phon DDM), and the dialect density only taking into account the 217 morphosyntactic aspects (Gram DDM) for each model. Similar to the overall DDM, Phon 218

DDM is calculated as the number of phonetic features of AAE in an utterance divided by 219 the number of words in that utterance. We find that calculating a morphosyntactic dialect 220 density measure in the same way often does not produce a metric that aligns well with the 221 raters' perception of which children are low or high density dialect speakers. Therefore, 222 we define morphosyntactic dialect density at the utterance level as done in (Oetting and 223 McDonald, 2002). That is, we define the morphosynactic dialect density measure (Gram 224 DDM) as the percentage of sentences that contain a marker of AAE grammar. Since the 225 number of possible dialectal phonological differences is largely limited by the number of 226 words in an utterance, and the number of dialectal morphosyntactic differences is largely 227 limited by the number of grammar constructions (i.e. clauses), we normalize Phon DDM 228 and Gram DDM by their respective maximum possible values. We evaluate the system 220 performance in predicting Phon DDM and Gram DDM for only the children, since the 230 adult speech samples are too short to estimate Gram DDM. The average dialect density 231 measures for each dataset are given in Table I. To format dialect density estimation as a 232 multi-class classification problem, we then assign discrete levels to the utterances based on 233 their dialect density measures: 0 - dialect density of 0, 1 - dialect density between 0 and 234 0.05, 2 - dialect density between 0.05 and 0.1, 3 - dialect density between 0.1 and 0.2, and 4 -235 dialect density greater than or equal to 0.2. Each utterance together with its dialect density 236 label then constitutes one training or testing sample. Literature shows that a dialect density 237 greater than 0.1 (i.e. 10% of the individual's words contain a dialectal difference from the 238 mainstream dialect) is often seen as a quite pronounced or high density dialect (Washington 239 and Seidenberg, 2022). The number of utterances at each dialect density for each dataset is 240

Label	0	1	2	3	4
Bounds	DDM = 0	$0 < DDM \le 0.05$	$0.05 < DDM \le 0.1$	$0.1 < DDM \le 0.2$	0.2 < DDM
CORAAL DDM	28	49	51	54	26
GSU Kids DDM	68	80	31	17	7
GSU Kids Phon DDM	95	82	16	8	2
GSU Kids Gram DDM	84	14	12	43	50

TABLE II. Number of utterances in each dialect density measure (DDM) bin for both the CORAAL and GSU Kids datasets

shown in Table II. We note that the majority of adult speakers from the CORAAL speakers
have DDMs from level 0 to 2 and the majority of child speakers from the GSU Kid Speech
Database have DDMs from level 0 to 1.

244 **B.** Features

We extract several feature sets that relate to documented aspects of AAE dialect and then train a backend classifier to predict the dialect density level of a given utterance. The following section describes the five proposed feature sets and backend model:

248 1. Grammatical Features

African American English has different grammar than Mainstream American English. For example, AAE constructions may contain verb conjugations, collocations, or word usages that are not seen in MAE. Motivated by the desire to capture these grammatical aspects of AAE which have been well-documented in linguistic studies (Lanehart and Malik, 2015), we create a hand-crafted feature set composed of the following values to detect the most ²⁵⁴ commonly seen of these differences (as determined in (Craig *et al.*, 2003)) in ASR transcripts
²⁵⁵ of spoken AAE.

We first use the ASR system, HuBERT-base (Hsu et al., 2021), to automatically tran-256 scribe each utterance. As an ultimate goal of this work is to perform transcription and 257 dialect density estimation with as little work required from the teacher as possible, we use 258 the ASR transcripts as is without human corrections. Our previous work in (Johnson *et al.*, 250 2023a) showed that HuBERT achieved lower average word error rate (WER) than Wav2Vec2 260 (Baevski et al., 2020) but worse performance than Whisper (Radford et al., 2022). How-261 ever, Whisper's language modeling often forced the output to align with a language pattern 262 similar to that seen in training, removing AAE constructions from the transcripts. For ex-263 ample, Whisper may interpret the utterance, "We wasn't doin' nothin" as, "We weren't 264 doing nothing," which does not represent the dialectal grammar and pronunciation differ-265 ences present in the speech sample. While HuBERT gave a higher average WER, we noticed 266 that it represented these differences more faithfully for the higher dialect density speakers. 267 From the HuBERT ASR transcripts, we then calculated the following quantities intended 268 to capture commonly recognized grammatical traits of AAE: 269

• GPT2 Sentence Perplexity: We calculated the perplexity of the ASR transcript under the GPT2 language model (Radford *et al.*, 2019). This gives the average negative log likelihood of a sequence of words occurring in their given order (i.e. a value inversely proportional to the likelihood of the sentence being spoken). As GPT2 is likely trained on primarily Mainstream American English text, we hypothesize that 275

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AAE constructions and ASR errors due to dialectal differences will give higher perplexity to ASR transcripts from higher density speakers.

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• Habitual or Future "be" perplexity AAE grammar constructions may contain an un-conjugated instance "to be," as in "They be crazy out there." We calculate the 278 ratio of perplexity of the original sentence with the perplexity of the sentence replacing 279 the verb "be" with the contraction of "are" or "is" (e.g. "They're crazy out there"). 280 We similarly calculate the perplexity for the use of "future be" (e.g. "He be here 281 tomorrow"). 282

• Completetive "done": (e.g. "They done finished it.") We calculate the ratio of the 283 perplexity of the original utterance to the perplexity of the utterance with the word 284 "done" removed. This value will return 1 if the word "done" does not appear in the 285 ASR transcript, and we choose a backend classifier that can ignore this or other values 286 if they are not informative. 287

• Simple Past "had": (e.g. "She had went inside" to express the simple past, "She 288 went inside."). We compute the ratio of the perplexity of the original utterance to the 289 perplexity of the utterance with the word "had" removed. 290

• Subject Verb Agreement: Like the habitual "be," AAE has several grammar con-291 structions that contain subject-verb combinations that do not follow the typical subject 292 verb agreement patterns of MAE. For example, AAE constructions can include double 293 marking of number and tense (e.g. "he wants to hits them," or "they both felled."), 294 generalization of "is" and "was" to plural and second person, (e.g. "They was from 295

Los Angeles"), and use of a verb stem as past tense (e.g. "They come here yesterday."). To capture these, we use the SpaCy Python library (Honnibal *et al.*, 2020) to automatically apply part of speech and dependency tagging to the input utterances and then return a binary decision on whether or not a mismatched subject-verb pair (i.e. a plural subject and singular verb or vice versa) was detected. We also apply direct string matching to detect common subject-verb pairs with irregular verbs (e.g. "They was" or "We is").

• Consecutive Nouns: Some AAE constructions like absence of possessive -s (e.g. "That's John house"), absence of plural -s (e.g. "It's two inch long."), and use of Appositive or pleonastic pronouns (e.g. "That girl, she likes chocolate") can be detected by the presence of consecutive nouns. We use SpaCy part of speech tagging to tag nouns in the ASR transcript and return a binary decision for whether or not consecutive nouns (not including possessives or proper noun phrases) were detected.

- "Ain't" as a Preverbal Negator: We return a binary decision on whether or not the word "ain't" is detected in the utterance through string matching on the ASR transcripts.
- Negative Concord: AAE grammar constructions may include double negatives or
 negative concord (e.g. "They ain't done nothing to nobody."). We use SpaCy part
 of speech and dependency tagging to automatically detect whether or not a negative
 verb with a negative object appears in the transcript to return a binary decision for
 this.

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• Existential "it" and "got": AAE speakers may use an existential "it" or "got" in the place of reference words (e.g. "it was a ton of people" or "They got a ton of people" instead of "there were a ton of people.") We calculate the ratio of the sentence perplexity of the utterance with the perplexity of the utterance replacing phrases with existential "it" or "got" with the corresponding MAE phrase (e.g. replacing "It was" or "They got" with "There were").

Indefinite Article: AAE may include invariant use of the indefinite article regardless
 of the starting sound of the following noun (e.g. saying "a airplane"). We use string
 matching to determine the presence of the article "a" followed by a word starting with
 a vowel and return a binary decision for this.

• Irregular Participle: AAE may include using regular verb forms for irregular participles (e.g. "a broke down car" instead of "a broken down car"). We use SpaCy part of speech tagging to identify verbs that modify nouns and are not in participle form and then return a binary decision for the detection of these.

• Zero Preposition: Some prepositions are variably included in AAE. Notably, the preposition "of" is often omitted in constructions with the preposition "out" (e.g. "She came out the car."). We use SpaCy part of speech tagging to identify the presence of prepositions after the word "out" and return a binary decision for the detection of these.

336 2. HuBERT Self-Supervised Learning Representations (SSLR)

As shown in (Yang et al., 2021), the HuBERT SSLR have proven to be useful for a variety 337 of speech tasks. Here we apply them to train a classifier to predict dialect density. For each 338 utterance, we first extract the hidden state from the last layer of HuBERT. We then divide 330 the 1024 x N output SSLR (where N is the number of 20ms frames in the audio signal) into 340 segments of 5 frames (corresponding to 100ms of the audio signal). These 100ms segments 341 are compiled with a sliding window with a shift of 20ms, meaning that there is overlap 342 between adjacent segments. We compute the average of each 5-frame segment and use these 343 1024 x 1 vectors to train a K-nearest neighbor (Knn) classifier to predict the dialect density 344 level of a new input averaged segment of HuBERT SSLR during inference. These 1024 x 345 1 vectors are extracted from all 100ms frames of every training utterance and given the 346 dialect density label of the utterance from which they came for training the Knn classifier. 347 Tuning on the validation set showed that the best K for the Knn classifier was 90. After 348 training the Knn classifier on the frames of the training set, we then similarly extracted the 349 HuBERT SSLR from the test set, averaged over each 100ms segment, computed the Knn 350 prediction for each segment, and computed the percentage of frames assigned to each of the 351 five dialect density levels. The soft-label 5 x 1 vector containing the percentages of frames 352 at each dialect density level is then used as an input to the backend classifier for final dialect 353 density level prediction. As HuBERT is trained with an unsupervised clustering step, we 354 hypothesize that its self-supervised learning representations will be useful in a downstream 355 dialect-related task using clustering. 356

357 3. ASR Phoneme-level Features

For this feature set, we first use the Wav2Vec2-Phoneme model (Xu et al., 2022) to 358 transcribe each utterance at a phoneme level. Wav2Vec2-Phoneme has a total of 391 different 359 possible phoneme outputs. Validation on the CORAAL adult speech training set which holds 360 out CORAAL DCB as the test set showed that only 38 of these phonemes were present in 361 the dataset, and so we restricted the output of the system to only consider those 38 for all 362 experiments. We then compute the frequency of each phoneme and bigram frequency of 363 each phoneme pair normalized by the number of phonemes in the utterance. This created 364 a 38-dim feature vector for the unigram phoneme frequency and a 1444-dim feature vector 365 (ie. 38^2) for the bigram phoneme frequency counts. We note that the majority of entries 366 in the bigram feature vector were 0, as many phonemes would not typically occur next to 367 each other in a given order. A vector containing these counts for each phoneme or phoneme 368 combination is then used as an input to the backend classifier. 360

370 4. OpenSmile Features

The OpenSmile feature set (Eyben *et al.*, 2010), which extracts paralinguistic features relating to speaker pitch, voice quality, spectral shape, MFCCs, and other factors has proven to be effective in low-resource DID in multiple studies (Johnson *et al.*, 2022a; Tzudir *et al.*, 2022b). Here, we investigate the performance Geneva Minimalistic Acoustic Parameter Set (GeMAPS) (Eyben *et al.*, 2015) feature set of the OpenSmile features in dialect density classification. We elect to use the smaller GeMAPS v01a feature set instead of the larger

ComparE 2016 feature set (62 vs 6373 features respectively) as we wish to use the feature 377 set primarily to investigate the prosodic information contained in the utterance, which can 378 be achieved through the use of the low level descriptors (LLDs) and their statistical func-379 tionals available in GeMAPS. Although the dialect density measures used in this paper are 380 calculated without respect to prosodic markers, previous work shows that prosodic markers 381 of dialect often cooccur with phonological and grammatical markers of dialect and are used 382 by human listeners to discern dialect, as shown with AAE in (Holliday, 2021). While the 383 LLDs of the GeMAPS set are available in the ComparE set as well, the large number of 384 features contained in the overall feature set compared to the size of the available dataset 385 might cause the classifier to overfit, and thus, we opt against using the full ComparE 2016 386 feature set. 387

388 5. X-Vector Speaker Embeddings

Originally proposed as a feature for speaker identification, X-vectors are the output of a later hidden layer of a time delay neural network trained for speaker discrimination (Snyder *et al.*, 2018). These features have proven to be useful in DID (Johnson *et al.*, 2022a; Liao *et al.*, 2023). We use them as a feature here to train the backend system to learn dialect density. From each utterance, we extract the 512 dimension X-vector using the Kaldi toolkit (Povey *et al.*, 2011). We also perform a comparison of these embeddings with the more recent ECAPA-TDNN X-vectors (Desplanques *et al.*, 2020).

After extracting features, we use an XGBoost model (Chen et al., 2015) to map the 397 input features to a discrete dialect density level. XGBoost is an ensemble method which 398 iteratively trains decision trees to perform classification, adding new trees to the ensemble to 399 compensate for the errors of the previous tree in each iteration. These models perform well 400 in classification tasks that rely on fusing information from different feature sets and have 401 proven useful in dialect density estimation in our previous work (Johnson *et al.*, 2022a). 402 These models also offer much more explainability than deep neural networks, as the impact 403 of each feature used in decision can be explored through SHAP value analysis (Lundberg 404 and Lee, 2017). That is, we can calculate a measure of feature importance for each input 405 feature in the five-class dialect density level classification problem. 406

407 D. Prediction tasks

408 We perform three sets of experiments to validate our proposed system.

Task 1: Individual Feature Performance We use the features described in the previous section as the input to the XGBoost model with the goal of predicting the speaker's dialect density measure from one of 5 discrete levels. We first test the performance of each feature individually in predicting the overall DDM for both adults and children and the Phon. DDM and the Gram DDM for children.

⁴¹⁴ Task 2: Combined Feature Performance Given the performance of the individual ⁴¹⁵ features in predicting the DDM classes, we then use a concatenation of the features in the ⁴¹⁶ model to perform the 5-class dialect density level classification.

Task 3: Binary Thresholding We acknowledge that choosing boundaries for each dialect density level requires domain knowledge which may not exist for every dialect or accent. Therefore, the multi-class classification method we present is less reproducible for some low-resource dialects. As an alternative, we also perform the experiment as a binary classification task. In this experiment, we choose a threshold and train the classifier to predict whether or not the dialect density measure for each test sample is less than or equal to that threshold. We then shift the threshold across the range of dialect density measures for the test set.

For the adult speech, where data is labeled for different dialect regions, we consider two 424 train/test configurations: cross-region and multi-region. In the *cross-region* case, we train 425 the system on four regions and test on the held-out region, rotating over all regions. This 426 scenario is designed to show the performance of the system with no training data from the 427 same region as the test set. In the *multi-region* case, we randomly hold out 20% of the full 428 CORAAL data set for testing and train on the remaining data, repeating the experiment 429 5 times and reporting the average performance. Since the children's speech data all comes 430 from a single region, we perform a 5-fold validation experiment and present the average 431 results. 432

433 **E**

E. Comparison with Our Previous Work

We make several modifications to our previous framework for dialect density estimation in (Johnson *et al.*, 2022a) in accordance with new developments in speech and language processing. First, we previously noted that sentence perplexity calculated with an LSTM-based

language model was an effective feature in estimating dialect density. With the increasing 437 effectiveness of GPT-based language models, we instead try a perplexity feature calculated 438 with the most recent open-source GPT model (GPT-2 at time of writing). We also imple-439 ment more granular hand-crafted features to target specific grammatical patterns that may 440 affect perplexity for greater interpretability. Next, we add self-supervised learning represen-441 tations from HuBERT here, as they have recently been shown to be effective in a variety of 442 speech tasks (Yang et al., 2021). In addition, our previous work with the OpenSmile feature 443 set of over 6000 features showed that several of the most impactful features from the set 444 related to voice quality and prosody. We opt to use the more compact GeMAPS feature set 445 from OpenSmile, as it contains features relating to the most useful features of our previous 446 work and reduces the chance of overfitting. We again use the X-vector speaker embedding 447 in this work. Previously, we trained a neural network to predict a speaker's regional accent 448 (using the speaker's city of origin as a label) from the input X-vectors extracted from non-440 dialect density-labeled speech CORAAL. The output softmax probability from that system 450 was then used as a feature in dialect density estimation. We have since found that some 451 region's recordings in CORAAL are highly separable by recording quality and channel ef-452 fects, and so we instead use the raw X-vector as a feature here. Last, we used correlation 453 between the sets of predicted and actual DDM labels in our previous work. In this work, 454 we format the problem as a classification problem for greater interpretability of the machine 455 performance on individual samples. 456

457 III. RESULTS

Because this is the first reported effort on automatic dialect density prediction, the results for all three tasks are reported in comparison to the accuracy associated with predicting the most frequent class in the training data, i.e. the prediction based only on class priors. The *training prior* condition represents an uninformed baseline; model accuracy below this baseline reflect over-fitting. A low training prior result indicates train/test mismatch in the class distributions for the cross-region scenario.

Table III shows the 5-class dialect density-level classification accuracy for task 1, where 464 an XGBoost model is trained separately on each of the specific feature types described 465 in Section II. We show the performance of the models trained separately for adults (both 466 cross-region and multi-region scenarios) and children. The DCB set is used in this ex-467 ploratory work for the cross-region scenario, because it has the median dialect density of 468 the CORAAL database. With the exception of the ECAPA-TDNN X-vector, all features 469 provide benefit over the uninformed training prior baseline for the adult conditions. For 470 children, as discussed further in Section IV, grammar features are only informative for the 471 gram-DDM score, and most of the acoustic features are uninformative for the gram-DDM 472 score. The experiments showed that both the Wav2Vec2-Phoneme Bigram features and 473 ECAPA-TDNN X-vector feature perform substantually worse than their related counter-474 parts, the Wav2Vec2-Phoneme unigram feature and Kaldi X-vector feature, respectively. 475 Therefore, these features are dropped in subsequent experiments. 476

Feature set	Feat Dim	CORAAL Adults (Test DCB, Train Other)	CORAAL Adults (20% RHO)	GSU Kids (5-fold validation)
Metric		Overall	Overall	Overall Phon Gram
		DDM	DDM	DDM DDM DDM
Grammar Feat	13	32.0%	47.6%	37.7% 25.1% 56.4%
HuBERT SSLR-knn	5	40%	45.2%	56.2% 51.3% 35.8%
Wav2Vec2-Phoneme Unigram	38	44.0%	52.4%	52.1% 54.5% 51.3%
Wav2Vec2-Phoneme Bigram	1444	40.0%	51.1%	48.9% 46.6% 36.4%
OpenSmile Gemaps	62	36.0%	41.7%	48.2% 56.4% 43.6%
Kaldi X-vector	512	34.0%	48.2%	44.0% 53.6% 33.3%
ECAPA-TDNN X-Vector	128	16.0%	37.8%	42.8% 55.2% 29.2%
Tr-Prior		24.0%	26.0%	39.4% 43.2% 41.3%

TABLE III. DDM classification accuracy of XGBoost classifier trained on each individual feature set (task 1) for adults (cross-region DCB and multi-region) and children, with results for the training prior maximum (Tr-Prior) for reference. The accuracy is shown with the overall dialect density for both adults and children. In addition, for children, results are given for the dialect density taking into account only phonological characteristics of dialect (Phon DDM) and the dialect density taking into account only grammatical characteristics of dialect (Gram DDM).

Table IV shows the classification accuracy for task 2, where we concatenate the features and train a single model to perform the dialect density level estimation. The average crossregion (Avg CR) and average RHO results are not directly comparable because of random sampling, but the performance difference is substantial in that it is roughly double the

	CORAAL Adults						GSU Kids 5-fold Validation			
				Overall	DDM			DDM Type		
Model	ROC	LES	DCB	PRV	VLD	Avg CR	Avg RHO	Overall	Phon	Gram
Tr-Prior	18.0%	5.0%	24.0%	2.0%	28.6%	15.5%	26.0%	39.4%	43.2%	41.3%
XGboost	46.0%	56.7%	48.0%	48.0%	32.9%	46.3%	60.1%	73.8%	61.2%	59.0%

TABLE IV. Performance of the XGBoost model trained on the combined feature set (task 2) (excluding the Wav2Vec2-phoneme bigram and ECAPA-TDNN X-Vector features). For reference, we show performance associated with the training prior maximum (Tr-Prior) for each test set. Results are reported for the overall DDM score for both cross-region (CR) and multi-region conditions for the adult AAE speech in CORAAL. For children's speech, cross-validation results are reported for DDM, Phon DDM and Gram DDM.

standard deviation of the RHO results. In all cases, the model substantially outperforms
the uninformed training prior baseline and the results for all individual features, as expected.

Figure 1 presents the result of the binary dialect density measure classification experi-483 ment (task 3) for the different regions of the adult speech (cross-region) and for the children's 484 speech. For each test set, we compute the accuracy of the system in predicting whether or 485 not the speaker of a given sample had a dialect density measure above a series of different 486 thresholds. The corresponding plots show the difference in model prediction accuracy rela-487 tive to the uninformed training prior baseline. Small values (positive or negative) indicate 488 that performance is not significantly different from the training prior, i.e. the features are 489 not informative, which will be the case for thresholds where one class has few examples. 490 Larger negative values reflect over-training, generally associated with a mismatch in the 491 binary class distribution between training and testing. 492

FIG. 1. Performance of the task 3 binary model in predicting whether or not a speech sample displayed an overall DDM higher than a given threshold. Each plot shows the difference in the classification accuracy relative to performance associated with the training prior decision vs. the DDM threshold. The plots for adults correspond to the five cross-region systems, and the plot for GSU Kids shows the 5-fold CV average for overall DDM.



493 IV. DISCUSSION

In this section, we analyze the experimental results. The Knn-generated soft-labels using 494 the frames of the HuBERT SSLR and the Wav2Vec2-Phoneme unigram model classify di-495 alect density level best for both the children and adults' speech. It is worth noting that there 496 are typically more phonological than morphosyntactic aspects of AAE dialects in a speaker's 497 speech, as a sentence can have several words containing pronunciation differences but will 498 often only have one subject-verb structure that can be modified. Therefore, the overall DDM 499 is often dominated by the Phon DDM term, and features that capture acoustic differences in 500 pronunciation like the HuBERT SSLR and the Wav2Vec2-Phoneme outputs appear best for 501

predicting the overall DDM. However, these features do not appear to capture grammatical 502 features of AAE dialect well. The hand-crafted grammar features and X-vector features 503 perform best for predicting DDM-gram for the adults. Although the X-vector features are 504 derived for speaker identification and not semantic tasks, the TDNN used to extract the fea-505 ture pools information over several time windows, capturing segment-level information. This 506 segment-level information is likely more useful in categorizing a speaker's likelihood of speak-507 ing with a morphosyntactic dialectal difference than features that operate at the frame-level 508 only (e.g. Wav2Vec2 or HuBERT features.). While the hand-crafted grammatical features 509 perform well for adult speech, their performance degrades for the children's speech. This is 510 likely due to the higher number of ASR transcription errors in children's speech which may 511 prevent the downstream NLP algorithm from accurately matching grammatical patterns. 512 The X-vector feature again performs well for classifying the number of morphosyntactic di-513 alectal differences in the children. We also note that the OpenSmile prosodic features are 514 useful for this, as some grammatical patterns may typically co-occur with specific intonation 515 or changes in pitch, making the prosody a good indicator of grammatical differences. While 516 the adults in the study each display one of five different regional dialects, all of the children 517 are from the same school district, making them more likely to share prosodic and dialectal 518 grammar patterns that generalize better across the training and testing sets. 519

In the combined model, we dropped the worse performing Wav2Vec-Phoneme bigram and X-vector features. Although studies have shown that bigram features typically outperform unigram features, the smaller size of the data used in this work may be insufficient to adequately train a model using bigram features, which are much higher dimension than the ⁵²⁴ unigram features. We also examine the performance of the speaker embeddings in this task.
⁵²⁵ The 512 dimensional Kaldi X-vectors outperformed the 128 dimensional ECAPA-TDNN
⁵²⁶ X-vectors. This may indicate that the more compressed ECAPA-TDNN X-vectors contain
⁵²⁷ only more identity focused information while the larger Kaldi X-vector feature retains more
⁵²⁸ information on dialect.

The model trained on the combined feature set outperforms all models trained on indi-529 vidual feature sets for CORAAL DCB and performs well across the other test sets. We note 530 that the model trained on the other four sets and tested on CORAAL VLD has the lowest 531 dialect density level prediction performance. This is likely due to the fact that the speakers 532 from Valdosta display some aspects of southern American dialect that are not seen in the 533 other datasets, and thus are difficult for the model to learn. Particularly, AAE speakers 534 from North Carolina and Georgia have been shown to exhibit vowel shifts more in line with 535 those seen in Southern American English while AAE speakers from Washington DC and 536 New York often display vowel shifts that are more unique to AAE (Thomas, 2001; Yaeger-537 Dror and Thomas, 2010). The model performed best for CORAAL LES out of the adult 538 datasets, as the Lower East Side of Manhattan dialect has been influenced by speakers of 539 several other regions, and training on speech from other areas will likely generalize better 540 to speakers from there than to a more isolated area. The work in (Koenecke et al., 2020) 541 also demonstrates that commonly used ASR systems have shown better ASR WER for the 542 northern AAE dialects than the southern AAE dialects, and so a higher number of ASR 543 errors in the VLD Wav2Vec2-Phoneme features and grammatical feature input transcripts 544 may have caused worse prediction accuracy. 545

546

Figure 3 shows the beeswarm plot depicting which features were most used in predicting 547 dialect density level for the adult's speech when testing on CORAAL DCB. Each line shows 548 how separable each utterance was by the feature shown on the left. We note that the Knn soft 540 labels generated from the HuBERT SSLR were most often used in the classification (where 550 $knn_0, knn_1, knn_2, knn_3$, and knn_4 denote the Knn soft labels for dialect density level in 551 ascending order). The unsupervised pre-training on a large amount of data appears to have 552 made the HuBERT SSLR especially potent in capturing small acoustic differences relating 553 to pronunciation and regional phonological varieties. We then see that several components 554 of the X-vector feature (e.g. xvec_237) were effective in distinguishing dialect density level, 555 capturing shared traits across speakers at the segment level. From the Wav2Vec2-Phoneme 556 model, it appears that a higher number of detections of the vowel a (shown as "a" in 557 the bee swarm plot) correlated with a lower predicted dialect density. This is consistent 558 with documented phenomena in which vowel formant frequencies shift between MAE and 559 southern American dialects, including varieties of AAE, which may result in alternate pro-560 nunciations of some vowel sounds and cause the model trained on MAE to recognize them 561 as other sounds (Johnson *et al.*, 2022b; Lanehart and Malik, 2015). Last, several of the 562 OpenSmile features such as the harmonic-to-noise ratio (HNR), autocorrelation function, 563 standard deviation of the F0 semitone, and standard deviation of the slope of the loudness 564 were also often used by the decision trees of the ensemble classifier. HNR has been shown to 565 be useful in distinguishing several speaker characteristics like age and speaking style (Fer-566

rand, 2002) while changes in F0 and loudness over time may be indicative of the presence
 of dialect-specific prosodic patterns.

The model trained on the combined features performs well for the children's speech. 569 The model achieves over 70% classification accuracy in the 5-class dialect density level 570 prediction task. One reason why this model performs better for the children's speech than 571 for the adult's speech may be that the children in the test and train splits are from the same 572 geographic area, whereas the adult models are trained on speech from other regional AAE 573 variants. Another reason is that, although the recordings of the children performing the 574 picture description educational assessment are unscripted, the children are all performing 575 the same assessment and are likely to share some of the same vocabulary and grammar while 576 performing it. This may make it easier for the model to analyze shared traits across content 577 that are not available across the completely spontaneous interview speech in CORAAL. 578 However, the high variability in children's speech still presents challenges for the model. 579

It can be observed that the overall DDM prediction accuracy (DDM Acc.) is sometimes 580 lower than the DDM considering only phonological differences (Phon DDM Acc.) or the 581 DDM considering only grammatical differences (Gram DDM Acc.). This may indicate that 582 for some regional variations of AAE or age-specific ways of speaking, the grammatical and 583 phonological language characteristics are not strongly correlated. It then becomes a more 584 complex problem for the classifier to jointly identify the presence of both of these types of 585 linguistic tokens in an input utterance. As a result, the performance of the joint prediction 586 may be worse than the individual phonological or grammatical DDM prediction. For these 587

cases, we may either explore increasing the complexity of the classifier or simply creating a weighted sum of the individual predictions in the future.

The model trained to perform binary classification with thresholded dialect density mea-590 sures as opposed to multi-class classification generally performed well across the choice of 591 threshold for the test sets. For all CORAAL test sets except LVC, the greatest benefit of 592 the classifier over the training prior for a DDM threshold 0.05 and 0.1. This is likely due to 593 the fact that most regions had a larger number of samples in this range, and so the classi-594 fier was able to better learn to distinguish DDMs from the input data. For the children's 595 speech, we see that the classifier accuracy is most above training prior baseline when the 596 DDM threshold is in the lower range. This follows logically, as many of the children's speech 597 samples have lower dialect density, and so the classification problem becomes easier as the 598 threshold rises to the point where most samples in both the test and training sets will have 599 lower dialect density measures than the threshold. Therefore, the training prior baseline 600 performance will be much higher at the higher DDM thresholds for this case. Overall, these 601 experiments give insight on which DDM thresholds the classifier performs best at given the 602 variation across regions and the currently available training data. From this, we observe 603 a tendency for the classifier to become more accurate as more data in the target dialect 604 density range is added, pointing for a possibility for the classifier to become much stronger 605 with additional training data. 606

As expected, the multi-region scenario outperforms the cross-region scenario (Table IV), because of the reduced mismatch in the train/test distributions. We realize that the recordings taken from the same region (i.e. recorded by the same interviewer) may share some

recording conditions or channel effects that can be used to form spurious correlations with 610 the speaker's dialect density. However, the Wav2Vec-Phoneme and grammar features do 611 not pass information on the background conditions or channel effects to the backend clas-612 sifier. Therefore, background effects that may be common across multiple recordings of the 613 same region cannot be used to indirectly learn dialect density classification. Because these 614 features perform similarly to those that are more subject to background noise and channel 615 effects (e.g. OpenSmile Gemaps features and Kaldi X-vector feature), we believe that fea-616 ture correlations with characteristics of the audio that are not directly related to speaker 617 and dialectal qualities are minimal. 618

We note a few comparisons with our prior work in (Johnson et al., 2023b). Previously, 619 we found character-level perplexity of the transcripts to be a useful feature in dialect density 620 estimation. However, in this work, we do not see the word level perplexity from GPT2 used 621 by the classifier as often as several of the other features available. As (Holtzman et al., 622 2019) points out, large language models like GPT2 may learn a bias for longer sentences 623 and repetitive grammar structures when training on large text corpora, meaning that their 624 prediction of likelihood of words occuring in a sequence does not generalize well to sponta-625 neous spoken speech. Our previous work also found the frequency of several sounds in the 626 transcripts to be good indicators of the dialect spoken. This is especially true for vowels that 627 may undergo a formant shift or consonants that are more often dropped or de-emphasized in 628 different dialects. We noticed a similar trend for a few vowels and consonants for the adults' 629 and children's speech. The addition of the Knn soft labels in this work seems to improve 630

⁶³¹ performance over our previous results, and the features are relatively robust for both adult⁶³² and child speech.

633 V. CONCLUSIONS

This work shows promising progress in automatically detecting dialect density levels of 634 speakers across age and regional dialect. Given the limited size of the datasets, we achieve 635 reasonably high dialect density level classification accuracy over the adult's speech (often 636 ranging from 10%-40% above the uninformed max training prior baseline) and over 70% ac-637 curacy for children. We demonstrate the utility of HuBERT self-supervised representations, 638 prosodic features from OpenSmile, hand-crafted grammatical features, speaker embeddings, 639 and phoneme-level transcripts in the prediction task. The feature sets provided may be 640 adapted for use in several other language and dialect identification tasks, and the frame-641 work presented offers explainability for which speech features capture dialectal differences 642 that are useful for automatic classification. We anticipate that additional training data 643 would lead to improved results with high enough fidelity for real-time classroom use. This 644 study also highlights the degree of dialectal speaker variability both within and across re-645 gions and how spoken language systems should be adapted to handle them. Our future 646 work includes using dialect density predictions in downstream tasks such as bias mitigation 647 in language technology, fair educational speech technologies that provide dialect-appropriate 648 automatic feedback to spoken responses in oral assessments, and applying this framework 649 to other dialects. 650



FIG. 2. Bee swarm plot depicting the relative impact of features in the ensemble classifier for the adult speech from CORAAL.

FIG. 3. Bee swarm plot depicting the relative impact of features in the ensemble classifier for the child speech from the GSU Kids' Speech Corpus.



651 VI. AUTHOR DECLARATIONS

652 A. Conflict of Interests

⁶⁵³ The authors have no conflicts of interest to disclose

654 VII. DATA AVAILABILITY

The CORAAL database is openly available at the data owner's online repository at: https://doi.org/10.7264/1ad5-6t35.

The GSU Kids' Database is available on reasonable request from the authors of (Fisher *et al.*, 2019) at Georgia State University. The data are not publicly available due to privacy concerns for the sensitive population it was sampled from (i.e. children).

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- ⁶⁶⁴ ¹Data available at https://drive.google.com/drive/folders/1g4ypxQB_fYaOCuMXW_vAUtWLhqFZ-h1f?
 ⁶⁶⁵ usp=sharing,codetobereleaseduponacceptanceofthispaper
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